part of # 10

MODIFIED PTO/SB/08 (08-00) oved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

5, no persons are tequired to respond to a collection of information unless it contains a valid OMB control Uniter the Paperwork Reduction Act of 19

> Substitute for form 1449B/PTOPEN INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted: May 20, 2003

(use as many sheets as necessary)

Sheet

	Complete if Known -
Application Number	09/816,268
Filing Date	03/23/2001
First Named Inventor	George H. HOFFMAN
Group Art Unit	2161 3627 MA
Examiner Name	Unassigned
Attorney Docket Number	062834-0197

				U.S. PATENT DOCUMENTS	3	USA
		U.S. Patent D	ocument		Date of Publication of	Pages, Columns Anes
Examiner Initials*	Cite No. ¹	Number	Kind Code ² (if known)	Name of Patentee or Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear
pe.	A1	4,713,761		Sharpe et al.	12-15-1987	
	A2	4,799,156		Shavit et al.	01-17-1989	
	A3	5,305,199		LoBiondo et al.	04-19-1994	
	A4	5,712,989		Johnson et al.	01-27-1998	
	A5	5,758,329		Wojcik et al.	05-26-1998	
	A6	5,884,300		Brockman	03-16-1999	
A7		5,893,076		Hafner et al.	04-06-1999	
	A8	5,970,469		Scroggie et al.	10-19-1999	
	A9	5,970,475		Barnes et al.	10-19-1999	
	A10	6,064,981		Barni et al.	05-16-2000	
	A11	6,134,557		Freeman	10-17-2000	
	A12	6,138,106		Walker et al.	10-24-2000	
	A13	6,148,291		Radican	11-14-2000	
	A14	6,157,915		Bhaskaran	12-05-2000	
	A15	6,219,653	B1	O'Neill et al.	04-17-2001	
	A16	6,263,317	B1	Sharp et al.	07-17-2001	
	A17	6,324,522	B2	Peterson et al.	11-27-2001	
	A18	6,341,271	B1	Salvo et al.	01-22-2002	
	A19	6,393,408	B1	Mosher et al.	05-21-2002	
	A20	6,418,416	B1	Rosenberg et al.	07-09-2002	
J	A21	2002/0019759	A1	Arunapuram et al.	02-14-2002	

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹	Offic	Foreign Patent Documen e ³ Number⁴ Kind C (if kno	ode⁵	Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
12	A22	JP	2002236730 A	ļ	SUGIOKA, MIKIO	08-23-2002		
				ļ				

·	NON PATENT LITERATURE DOCUMENTS								
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶						
pr.	A23	Information on Deal Time International, 1998-2002							

Examiner Signature Date Considered Wis/03	
---	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

¹ Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.
Applicant is to place a check mark here if English language Translation is attached.

MODIFIED PTO/SB/08 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Pap rwork Reduction Act of 1995, no Bersons are requires respond to a collection of information unless it contains a valid OMB control

imber.			/63		<u>c.y </u>		
	Substitute for	r form 1449B/	PTO X4	RANE		Complete if Kn wn	A)
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number	09/816,268	(C)
					Filing Date	03/23/2001	40 (
	Date Submitt	ed: May 20	2003		First Nam d Inventor	George H. HOFFMA	O MAL
Date Submitted: May 20, 2003 (use as many sheets as necessary)					Group Art Unit	2161 3627	100
					Examiner Name	Unassigned	01 220
Sheet	2	of	2		Attorney Docket Number	062834-0197	

		<u> </u>
	NON PATENT LITERATURE DOCUMENTS	00
Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
A24	Information on Circuit City Stores, Inc., 1996-2002	_
A25	"Deal Time.com Spiders Web for Bargains", Mary Hillebrand, 30 June, 1999, E-Commerce Times	
	No. ¹ A24	Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published. A24 Information on Circuit City Stores, Inc., 1996-2002

Examiner Signature	KZ	Date Considered	11/15/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, PO Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450.

Form 1449 (Modified)

ŧ

Information Disclosure Statement By Applicant

(Use Several Sheets if Necessary)

Atty. Docket No. RSI1P070

Application No.: 09/816,268

Applicant:

George Harry Hoffman, et al.

Filing Date: 3/23/01

Group Art United SER PECENED



U.S. Patent Documents

Examiner Initial	No.	Patent No.	Date	Patentee	Class	Sub- class	Filing B
PZ.	A	6,167,380	12/26/00	Kennedy et al.	705	10	02/18/97
	В	6,151,582	11/21/00	Huang et al.	705	8	02/24/97
	C	6,115,690	09/05/00	Wong	705	7	12/22/97
	D	5,983,198	11/09/99	Mowery et al.	705	22	04/23/96
	Е	5,974,395	10/26/99	Bellini et al.	705	9	08/21/96
	F	5,963,919	10/05/99	Brinkley et al.	705	28	12/23/96
	G	5,953,707	09/14/99	Huang et al.	705	10	02/21/97
	Н	5,914,878	06/22/99	Yamamoto et al.	364	468.13	07/22/97
	I	5,884,300	03/16/99	Brockman	707	2	05/01/97
	J	5,774,868	06/30/98	Cragun et al.	705	10	12/23/94
	K	5,771,172	06/23/98	Yamamoto et al.	364	468.13	07/22/97
	L	5,765,143	06/09/98	Sheldon et al.	705	28	03/10/95
	M	5,687,322	11/11/97	Deaton et al.	395	214	06/01/95

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
72	N	00/51038	31.08.00	WO- PCT	G06F	17/40		
per.	0	99/67729	29.12.99	WO- PCT	G06F	17/60		
Examiner Date Considered								

Form 1449 (Modified)

Atty. Docket No. RSI1P070

Application No.: 09/816,268

Information Disclosure Statement By Applicant Applicant:

Other Documents

		nt By Applicant	F	George Harry Hoffma	Group	Art Unit:	
OUSE SE	everal	Sheets if Necessary) Oth		/23/01 Documents	2 101 /	- RC F	AECK, 1,001
Examiner	No	Author Title Date D	lana	(o.g. Journal) of Dr	hliantion		She 3
Initial	P	www.zdnet.com/ecomr "Supply chain gets cold	nerc	e/stories/main/0,104		html -	700
	Q	http://www.foodlogistic Story: Supply Chain M Moving Towards Effici	anag	gement Quarterly Eff			
	R	http://www.localbusine "MindFlow: Purchasing			7,NOCITY_55	953,00.html	
	S	http://freespace.virgin.r Solutions Limited, Whi					,
	T	http://www.startmag.co The Virtual Supply Cha		3n9/v3n9p060.asp "	Start - Novemb	er 1999, v3n9	
	U	http://www.p21.com/ac Management"	clair	m/supply-chain.htm	"Supply Chain	l	
	V	http://www.sap.com/pr Management"	ess/f	s scm.htm "mySAF	.com TM Supply	Chain	
	W	http://www.foodlogistic		om/esupply/esupply/	section3.html "A	An E-	
	X	http://www.supplychair Newsletter February 21			ly Chain Brain	Quick Read	
Examiner	R	2	`	Date Considered) 7		

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

part of #8

PTO/SB/08 (08-00) 5-4

Ap-roved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control

Substitute for form 1449B/PTO
INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Date Submitted: October 24, 2002

(use as many sheets as necessary)

Sheet 1 of 1

	C mplete if Known	CHPE
Application Number	09/816,268	7
Filing Dat	03/23/2001	007 2 x 2022 P
First Named Inventor	George H. HOFFMAN	VOI 2 4 2002 H
Group Art Unit	2164 3627	12
Examiner Name	Unassigned	The set
Attorney Docket Number	062834-0197	TRADES
•		

				U.S. PATENT DOCUMENTS	3	
Examiner Initials*	Cite No.1	U.S. Patent D	ocument	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
12	A1	5,950,173		Perkowski	09/07/1999	
12.	A2	6,084,528		Beach et al.	07/04/2000	
					,	
-		-				FOP:
						EURIVED
						OCT 2 8 2002
					GF	OUP
						0000

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document			Name of Patentee or	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant	
		Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T ⁶
						<u></u>		
								-
								1
								
	 							
				_				
7								

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Signature	125	Date Considered	11/15/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.